



Employment Information Privacy Notice - Former Associates and Job Applicants

Last updated: December 5, 2019

This Privacy Notice (“Notice”) explains how and why TD Ameritrade, Inc. (“TD Ameritrade”) collects and uses your personal information if you are a former associate (including temporary workers) or a job applicant, respectively. The version of this Notice is effective as of the date indicated above.

This Notice covers:

What Personal Information is Collected and How

Depending on whether you are a former associate or a candidate, describes what categories of personal information are collected and from what sources.

How We Use Your Personal Information

Describes the business purpose for collecting your personal information.

How We Share Your Personal Information

Discloses by category any third parties or service providers that receive your personal information and for what purpose.

Contact Us

What Personal Information is Collected and From Where

By law, we have to retain certain personal information when offering you employment and after your employment is terminated. If you chose to enroll in certain benefits while at TD Ameritrade, those service providers have their own policies and practices and may retain your personal information for different reasons and lengths of time. Please note that information you provide as a TD Ameritrade client is subject to the privacy policy on tdameritrade.com.

Former Full and Part-Time Associates

This includes: name; contact information; social security number; driver’s license, state ID, or other form of identification; date of birth; gender; employment-related information; education information; postal address; telephone number; and email address.

We collected this information directly from you, from your use of our company-provided devices, and from government agencies and private companies.

Candidates

As a candidate we collect any information you provide on your resume, including name, postal address, email address, telephone number, employment-related information, education-related information and may make inferences based on that information. We collect this information

directly from you and from service providers like recruitment firms and third parties, such as unaffiliated job sites, your former employers or educational institutions and, if necessary to your job function, regulators.

How We Use Your Personal Information

Former Associates

We use collected information in connection with your employment and compensation, to comply with regulatory or legal requirements, for safety and security purposes, to ensure compliance with internal policies, make organizational and hiring decisions and resolve and investigate disputes or lawsuits.

Please note that if provided your personal information directly to a service provider in order to use the service (even if it was a benefit provided to you as a result of your employment at TD Ameritrade), you should contact them directly for questions about the use of your personal information.

We also use aggregate information to provide statistical reporting as needed to the government, our affiliates or our marketing partners and trusted service providers and use it for internal purposes and to better tailor our employee programs and hiring practices.

Candidates

We use the information we collect in order to assess you as a candidate, to find prospects, request background checks, and if applicable, confirm the status of any job-related certifications and licenses and to comply with other legal obligations.

How We Share Personal Information

We share information only as necessary to comply with legal and business operational obligations, and to provide you with requested services and benefits. These service providers are required by contract to use the personal information solely for those purposes. We do not sell your personal information, but we do provide it to a third party, the government, as required by law or in response to a subpoena. You cannot opt out of this kind of disclosure.

Former Associates

We may share your information with service providers, such as payment processors, law firms, liability insurers, auditors, email delivery and database management services and consultants.

Candidates

We may share your personal information with the following categories of service providers: recruiters, job sites, our communication services providers, record keeping systems, credit reporting agencies, advertising firms, security services and research and consulting firms.

We share this information in order to extend employment offers, coordinate interviews, find candidates, post and advertise job openings and events, comply with legal requirements, prevent security incidents, confirm licensing status, request background checks, make hiring decisions and understand applicant pools and industry statistics.

Contact Us

Please direct any questions about this Notice to privacy@tdameritrade.com, with the Subject Line Employment Information Privacy Notice, and include whether you are a former associate or a job applicant. Please do not provide any additional identifying information unless requested.